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# Diversity in marine protected area regulations: Protection approaches for locally appropriate marine management

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Globally, marine protected area (MPA) objectives have increasingly shifted from a primary focus on maintaining ecosystems through prohibiting extractive activities, to more equitable approaches that address the needs of both people and nature. This has led to MPAs with a diverse array of fisheries restrictions and recent debate on the type of restrictions that contribute to achieving biodiversity goals. Here we use a global dataset of 172 MPAs (representing 31 nations) alongside nine detailed case study MPAs (from Australia, Belize, Cambodia, Federated States of Micronesia, Fiji, Indonesia, Madagascar, Solomon Islands, and United States of America), including partially protected areas that allow regulated fishing, to illustrate the many diverse pathways that some MPAs have adopted to protect biodiversity and safeguard the rights and well-being of resource-dependent coastal communities. We group MPAs based on their restrictions and explore four key insights emerging from these groupings using our nine case studies: (i) MPAs use highly diverse approaches to regulate fisheries; (ii) partially protected areas can address gaps in regional fisheries management; (iii) devolving resource management rights to communities influences the chosen fisheries restrictions; and (iv) state-governed MPAs can use highly tailored fisheries restrictions to increase equity in access. We find that partially protected MPAs can offer effective and equitable pathways for biodiversity conservation if tailored to local context. Rather than focusing primarily on fully protected areas for achieving new

global MPA targets, we recommend countries use a blend of locally-appropriate protection levels – from fully protected areas to partially protected MPAs to achieve positive biodiversity outcomes.

#### KEYWORDS

marine protected area (MPA), partial protection, fisheries regulation, marine management, biodiversity targets, MPA

## 1 Introduction

Globally, area-based conservation has undergone an evolution from a historical focus on protecting ecosystems through access restrictions, to more equitable approaches for both people and nature (Sandbrook et al., 2011; Mace, 2014; Tallis and Lubchenco, 2014; Garnett et al., 2018; Dawson et al., 2021). With this shift comes an increasing need to recognize a diverse spectrum of approaches to achieve conservation outcomes. The design and implementation of Marine Protected Areas (MPAs), which are commonly used conservation interventions in response to declines in ocean health, have also followed this broader evolution (Pendleton et al., 2018; Campbell and Gray, 2019). For example, those establishing MPAs increasingly engage marine stakeholders in the design and implementation phases and include social considerations in their targets or outcomes (Campbell and Gray, 2019). As many coastal communities depend on coastal ecosystems, there is a need for approaches to marine protection that include and address the diverse needs of marine stakeholders while protecting biodiversity. Many MPAs increasingly have objectives to support building resilient social-ecological systems (Cinner et al., 2012; Mace, 2014).

MPA coverage has rapidly expanded in recent years, in part driven by countries' commitments under the Convention on Biological Diversity Aichi Target 11 to designate 10% of their marine areas as MPAs by 2020 (Grorud-Colvert et al., 2019). This global commitment to expand MPA area was further reinforced by Goal 14 ('Life Below Water') of the UN Sustainable Development Goals, which also adopted a target for nations to designate 10% of their marine areas under protection. MPA coverage increased from 0.5% of global marine area in 2004 (Toropova et al., 2010) to 7.7% in 2020 (UNEP-WCMC and IUCN, 2021)—and has been accelerated by the designation of some very large, isolated (i.e. remote) MPAs (>100,000 km<sup>2</sup>) (Toonen et al., 2013). The recent adoption of the Kunming-Montreal Global Biodiversity Framework, of which Target 3 calls for nations to 'ensure and enable that by 2030 at least 30 percent of ... coastal and marine areas ... are effectively conserved and managed' seems likely to further accelerate and incentivize global growth in marine protection (CBD, 2022).

IUCN defines an MPA as: 'A clearly defined geographical space, recognised, dedicated, and managed, through legal or other effective means, to achieve the long-term conservation of nature with associated ecosystem services and cultural values' (Dudley, 2008). While the IUCN definition is recognized globally, in reality, MPAs are often defined differently in each jurisdiction based on the priorities and legal systems of the country, the national or sub-

national legal instruments used in designation, and the naming conventions for protected areas in the country (e.g. Amkieltiela et al., 2022). MPAs are required to set objectives based on achieving positive outcomes for nature to meet the global IUCN definition – such as increases in marine species abundance, biomass, and age-class (Dudley, 2008). MPAs must be implemented in areas where human activities currently or in the future would otherwise be damaging or unsustainable for the marine environment to deliver positive outcomes for nature. Protection levels within MPAs can vary, and the term MPA has always covered a wide range of levels of protection. These range from 'fully' protected areas (where all extractive and damaging activities are prohibited) and 'highly' protected areas (where only activities with low environmental impact are allowed), to 'lightly' protected (with moderate extractive activity, e.g. gear restrictions or periodic harvest), to only 'minimally' protected with fewer restrictions on fishing or other extractive activities (Day et al., 2012; Horta e Costa et al., 2016; Grorud-Colvert et al., 2021). A global synthesis suggests that 94% of MPAs allow some form of fishing (Costello and Ballantine, 2015).

There has been debate on the required minimum levels of protection for MPAs to count towards marine protection targets (Agardy et al., 2003; Agardy et al., 2016; Pendleton et al., 2018). On one end of the spectrum, it is argued that only fully or highly protected MPAs (i.e. MPAs that prohibit extractive activities or only allow those with minimal environmental impact) should count towards biodiversity targets (Davis, 2012; Pendleton et al., 2018; Sala and Giakoumi, 2018; Sala et al., 2018). This 'biodiversity first' focus, however, risks misalignment with current conservation thinking around inclusivity and equity in conservation and the need to support resilient social-ecological marine systems. While there is strong evidence that effectively managed, isolated, large, older, fully or highly protected MPAs provide the greatest biodiversity outcomes (Lester and Halpern, 2008; Sciberras et al., 2013; Edgar et al., 2014; Sala and Giakoumi, 2018), such strict protection is ill-suited to many areas. In addition, there is a paucity of empirical data to illustrate the efficacy of 'lightly' protected areas, many of which are critical to the integrity of social-ecological systems (Cinner et al., 2016; Crane et al., 2017a; Crane et al., 2017b). Some of the most biodiverse ocean areas—and those in most urgent need of protection—are located in places where marine resource use is deeply intertwined with culture (McClanahan et al., 2006; Crane et al., 2017a) and critical for livelihoods and food security (Loper et al., 2008; Cinner et al., 2016). Furthermore, greater returns on investment from MPA establishment for biodiversity can be expected in locations where

people are moderately extracting resources, rather than in places that are suitable for fully protected areas (i.e. isolated areas with low extraction rates) (Cinner et al., 2018).

In this paper we discuss how positive biodiversity outcomes can be achieved from MPAs with diverse fishing restrictions. We seek to identify how different and diverse regulations could be combined within MPAs to achieve different forms of partial protection. We refer to MPAs which do not prohibit all fishing as ‘partially protected’ areas (Lester and Halpern, 2008; Sciberras et al., 2013; Zupan et al., 2018) in contrast to MPAs that prohibit all fishing activity known as ‘fully’ protected areas or no-take areas (Grorud-Colvert et al., 2021). We then, through comparative analysis of case studies, evaluate how local context may have influenced MPA regulation choices. Specifically, we use global data and nine case study MPAs—including partially protected areas—to illustrate different MPA implementation strategies that could be used to protect biodiversity while also safeguarding the rights and well-being of resource dependent communities. We first develop and apply a classification framework to explore MPA restrictions across highly diverse contexts. We then focus on fisheries restrictions within MPAs, given these represent a major source of social conflict between local communities and management authorities in many MPAs. We identify common groupings of MPAs based on restrictions and evaluate the restriction choices and local context for the case study MPAs. Through this effort, we illustrate and evaluate potentially locally appropriate marine conservation measures and how they might support more positive and equitable biodiversity outcomes – especially for linked social-ecological systems.

## 2 Methods

### 2.1 Protection classifications and definitions

Several typologies have been developed for describing MPAs based on their objectives, regulations, or permitted activities. IUCN defines protected area categories based on the objective of an MPA or zone provided that biodiversity conservation is a primary goal (Day et al., 2012). Other MPA classifications have been proposed, for example, by broadly grouping MPAs into different categories based on fisheries gear restrictions, other human activities (e.g. aquaculture), and accessibility (Horta e Costa et al., 2016), or by level of protection and stage of MPA establishment (Grorud-Colvert et al., 2021). Fisheries restrictions are also often classified based on input rules (e.g. limited entry, time restrictions, gear restrictions), output rules (e.g. allowable catch limits), or technical measures (e.g. size limits, time or area closures) (Selig et al., 2017).

Many MPAs do not have a single set of static regulations that are applied in the long-term and across the entire MPA. Instead, many MPAs manage extractive use based on complex interwoven restrictions that we group into five broad restriction categories: *who*, *what*, *when*, *where*, and *how* (Tables 1 and 2). These restrictions can be implemented by governments, local communities, or other stakeholders within MPAs, and each of them can be used individually or in combination. For example, an MPA may incorporate zonation (restrictions on *where* people can fish) that creates fisheries areas subject to gear restrictions (restrictions on *how*

fishing can occur). In addition to fisheries restrictions there can be restrictions on other activities occurring in MPAs, such as aquaculture, bottom exploitation (e.g. sand mining), and non-extractive uses (e.g. tourism) (Horta e Costa et al., 2016).

### 2.2 Case study MPAs and global dataset

To understand outcomes from a diversity of MPA management and restrictions, we considered nine illustrative case study MPAs (Figure 1; Table 1, S1). These MPAs were selected during a workshop held at the 5th International Marine Conservation Congress in Kuching, Sarawak in June 2018. Case studies were selected based on discussion balancing: (i) diverse governance types and geographical locations, (ii) where detailed knowledge of establishment, management, and regulations were available to the authors/workshop participants, and (iii) where documented assessments of biodiversity outcomes were available. Case study MPAs are: (1) Wakatobi National Park (NP), Indonesia, (2) Kubulau District Locally Managed Marine Area (LMMA), Fiji, (3) Velondriake LMMA, Madagascar, (4) Koh Rong Archipelago Marine Fisheries Management Area (MFMA), Cambodia, (5) Ulithi Atoll and associated islands, Outer Islands of Yap State, Federated States of Micronesia, (6) Cottesloe Reef Fish Habitat Protection Area (FHPA), Australia, (7) Kona Coast Fishery Management Area (FMA), Hawaii, USA, (8) Nusatupe Reef MPA, Solomon Islands, and (9) Half Moon Caye Natural Monument (NM), Belize (Figure 1). Case study MPAs included exclusively fully protected areas, zoned MPAs incorporating partial protection and fully protected areas, and exclusively partially protected MPAs (Table 1). While the majority of case study MPAs do have documented biodiversity benefits (Table 1), we acknowledge that most still face challenges (Table S1). Therefore, these case study MPAs should not be considered ‘fully effective’ and we recognize, as for most MPAs, there is scope for case study MPAs to improve their effectiveness.

To place our nine case study MPAs in a global context, we used a dataset of 167 MPAs under different forms of governance from 31 nations and tropical and temperate waters originally gathered by Gill et al. (2017). The dataset therefore illustrates variation in MPA restrictions that can be seen at the global scale (see Gill et al., 2017). For each MPA in the global dataset we searched for official management plans online, using the World Database of Protected Areas ([www.protectedplanet.net](http://www.protectedplanet.net)) and also included government documents and non-governmental organization (NGO) reports. Four of our case study MPAs were already included in the global dataset, so in total we obtained information on 172 MPAs. We follow the IUCN MPA definition, which means that some of the MPAs we include in our analysis may not formally be called ‘Marine Protected Areas’ in their countries’ legal system, but they meet the IUCN definition of an MPA.

### 2.3 MPA classification

For the 172 MPAs we identified the broad ‘restrictions categories’ used within each MPA—i.e. whether there were restrictions based on *where*, *when*, *what*, and *how* people can fish, *who* can fish, and other restrictions (Table 2). To better understand how fisheries were being managed, within these restriction categories we identified the specific

TABLE 1 Overview of case study MPAs.

| MPA (establishment year) – extent  | Context and Objectives <sup>1</sup>  | Governance arrangements <sup>2</sup>   | Regulations  | Biodiversity outcomes  |
|--|--|--|--|--|
| 1. Wakatobi National Park, South East Sulawesi, Indonesia (1996) – 1,390,000 ha        | IUCN Protected Area Category: II<br>Wakatobi National Park (NP) is the second largest marine national park in Indonesia. It has multiple objectives, including biodiversity conservation, sustainable development of the regional economy, especially from the fisheries and tourism sectors, and the availability of sustainable livelihoods for local communities (Clifton, 2013; von Heland and Clifton, 2015).   | IUCN Governance Type: A<br>The Park is managed by the Wakatobi National Park Authority reporting to the Ministry of Environment and Forestry in Jakarta. While the park is under state governance, there is community involvement in management in parts of the NP, and also formal recognition of customary governance in specific geographic locations by the Wakatobi National Park Authority (Clifton, 2013; Jack-Kadioglu et al., 2020).  | The MPA is zoned including no-take areas and sustainable fisheries areas – including some areas under irregular closures controlled by communities (Jack-Kadioglu et al., 2020). Fishing vessels larger than 10 gross tons are excluded from the MPA (Muawanah et al., 2020). No MPA-specific restrictions on gear effort, size/weight, species, or permits – though national fisheries regulations apply. Aquaculture and non-extractive activities are allowed in specific MPA zones – with tourism development encouraged within the NP (Tam, 2019).                                | Ecological monitoring has found that biomass of some fish groups has increased in the MPA (Firmansyah et al., 2016).   |
| 2. Kubulau District Locally Managed Marine Area, Bua Province, Fiji (2004) – 12,000 ha | IUCN Protected Area Category: IV<br>Kubulau District Locally Managed Marine Area (LMMA) is located in Bua Province, Fiji (Weeks and Jupiter, 2013). The LMMA spans the customary fishing ground ( <i>qoliqoli</i> ) and has objectives of maintaining or improving long-term sustainable yield and reproductive capacity of fisheries, maintaining or improving biodiversity and ecosystem function, and supporting reef resilience into the future (Weeks and Jupiter, 2013).   | IUCN Governance Type: B<br>The LMMA is governed using a co-management approach between Kubulau communities and Wildlife Conservation Society (WCS). Decisions are taken by the Kubulau Resource Management Committee – formed from representatives of each village – with scientific input, guidance, and monitoring and evaluation support from WCS.  | Kubulau District LMMA consists of three district-wide permanent no-take areas, seventeen village-managed periodic harvest closures (tabu areas), and a larger surrounding fisheries area under local community governance. The LMMA incorporates seasonal closures, species-specific fisheries bans, and restrictions on how people can fish. While size limits on fish are enforced, these are defined by national law rather than the LMAA. Recreational activities are allowed with permission, and within the no-take areas require a formal marine reserve user tag to be issued. | No-take areas have greater fish abundance and biomass than surrounding fished areas (Jupiter and Egli, 2010), including some sites with exceptionally high biomass (Barrett et al., 2018). Period harvest closures on average support greater biomass of targeted fisheries species (Goetze et al., 2018). However, during harvests fishers remove much of this, therefore a network of closures of differing ages is required to provide long-term protection for biodiversity. |
| 3. Velondriake, Madagascar (2006) – 64,000 ha  | IUCN Protected Area Category: V<br>Velondriake locally managed marine area (LMMA) is located in, southwest Madagascar (Harris, 2007). The LMMA evolved from successful temporary octopus closures by the village of Andavadoaka in November 2004 to a fully-fledged LMMA officially gazetted in 2015 (Gardner et al., 2018). The LMMA aims are: fisheries development; nature conservation; economic development; solidarity between local communities; education; sustainable biodiversity use and preservation for future generations; and ecotourism. | IUCN Governance Type: B<br>The LMMA is governed by the Velondriake Association (VA), comprising regional sub-committees representing different villages. Velondriake is regulated by a <i>dina</i> —a locally developed set of laws (Andriamalala and Gardner, 2010). Madagascar lacks a legal framework for LMMAs, but Velondriake is gazetted as a protected area with Blue Ventures as the delegated management authority (Gardner et al., 2018). Blue Ventures sub delegates aspects of management to the VA. Thus, the LMMA is <i>de jure</i> co-managed by Blue Ventures and the Government of Madagascar, it is <i>de facto</i> co-managed by VA and Blue Ventures. (Gardner et al., 2020). | The LMMA is zoned and includes five permanent coral reef no-take areas, two permanent mangrove reserves, and numerous restricted use zones and aquaculture zones. Fishing is allowed in parts of the LMAA, and there are periodic irregular fisheries closures (particularly for octopus). Destructive fishing practices are banned. Fishing for selling catch is allowed, but uses small-scale fishing gears by community members. Non-extractive recreational uses are allowed in parts of the LMMA.   | Community-managed no-take areas within Velondriake LMMA have higher fish biomass than control sites (Gilchrist et al., 2020). Fisheries catch data also shows that mean octopus size increases inside the periodic fisheries closure areas (Benbow et al., 2014).  |
| 4. Koh Rong Archipelago Marine Fisheries Management Area,                              | IUCN Protected Area Category: VI<br>Koh Rong marine fisheries management area (MFMA), declared in 2016, is Cambodia's first large-scale  | IUCN Governance Type: B<br>Authority of the Marine National Park resides with the Ministry of Environment  | The MPA incorporates no-take areas, periodic irregular closures, and sustainable fishing areas. as well as pre-determined annual fisheries seasons (in a fish refuge   | Long-term monitoring surveys in Koh Rong MFMA indicate stability or slight recovery in coral reef health   |

(Continued)



TABLE 1 Continued

| MPA (establishment year) – extent  | Context and Objectives <sup>1</sup>  | Governance arrangements <sup>2</sup>  | Regulations   | Biodiversity outcomes  |
|--|--|---|---|--|
| Preah Sihanouk Province, Cambodia (2016) – 52,000 ha   | and multiple-use MPA (West and Teoh, 2016). The area was also designated as a Marine National Park in 2018. The MFMA was declared with the intention of protecting biodiversity, supporting sustainable fishing and tourism, and contributing to poverty alleviation to address issues such as pollution, destructive fishing, and coastal development (Fisheries Administration, 2016).   | and the management of the MFMA sits with the Ministry of Agriculture, Forestry and Fisheries (MAFF) and the three Community Fisheries (CF). CFs are legally recognized community groups representing their members. The MAFF management structure consists of a Provincial Management Committee and a multi-stakeholder Technical Working Group, which includes businesses and NGOs actively involved in MPA management, as well as CFs, government and authorities.  | zone and for some key fisheries species such as mackerel). There are also fisheries species and size/weight restrictions, and also gear type (i.e. no trawl nets), effort, and habitat/depth restrictions for fishing in some of the zones. Medium-scale fishing (i.e. based on boat size) must be licensed <i>via</i> permit by the government. There are also fishing restrictions based on residency within the MPA. Commercial fishing is not allowed in the MPA. Aquaculture and non-extractive activities are allowed in certain areas of the MPA. Bottom exploitation is not allowed.  | indicators since MPA management began (Thorne et al., 2015; Glue et al., 2020). Coral reef surveys in 2019 observed an increase in hard coral cover, an increase in biomass of grouper (Serranidae) and parrotfish (Scaridae) families, and stability in the abundance of fish classified as economically valuable to local fisheries. While positive change was observed, the total biomass of grouper and parrotfish families in the MPA remains low, indicative of a reef system previously overexploited (Glue and Teoh, 2020). Social surveys conducted in 2017 showed that the majority of households (92.4%, n=132) in the five main settlements perceived benefits of the MFMA to their villages, due to a perceived increase in fish stocks and also tourism (Roig-Boixeda et al., 2018). |
| 5. Ulithi Atoll and associated islands, Outer Islands of Yap State, Federated States of Micronesia (centuries old) – approximately 55,000 ha | IUCN Protected Area Category: V Ulithi Atoll and associated islands is the largest atoll in the Yap outer islands, the fourth largest atoll in the world, and part of the Federated States of Micronesia (Crane et al., 2017a). The marine conservation goals for community-implemented marine protection around Ulithi Atoll and associated islands are healthy reefs, healthy populations of fish, and healthy people from sustainable harvesting. | IUCN Governance Type: D Reef management is provided in a decentralized way by local communities who also heavily depend on their reefs for food security and well-being (Crane et al., 2017a). Partial protection management approaches under the governance of local communities have been used in the outer islands for many centuries (Crane et al., 2017a). Local declines in fisheries resources in recent decades have caused food security concerns (Crane et al., 2017a; Crane et al., 2017b) and led to the reinstating of traditional management, establishing stronger enforcement, and seeking scientific support to assess the problems and the impacts of management (Crane et al., 2017a). | Management is heavily reliant on temporary reef closures. Some ‘closed’ reefs are closed for household fishing but opened for significant community events. Ulithi Atoll and associated islands therefore include spatial zonation but without permanent no-take areas. Temporal restrictions represent irregular closings (e.g. following death of a Chief), and changes in permitted activities during the year or on a pre-fixed date (e.g. a holiday). Communities also implement fishing gear restrictions and species-specific restrictions (e.g. bans on night spear-fishing parrotfish; bans on gill nets, etc. (Crane et al., 2017a). Subsistence fishing is allowed, but commercial fishing is not. Only those who are resident in Ulithi Atoll and associated islands or have cultural ties are permitted to fish. There are no restrictions on fish size or weight, nor habitat restrictions for fishing. Non-extractive recreational uses are permitted in parts of the atoll. Bottom exploitation is not allowed. | Communities have reported multiple positive biodiversity outcomes as a result of enhancing partial protection. For example, fish biomass has more than doubled in the managed area on the Island of Falalop, Ulithi Atoll (Crane et al., 2017a). Here, community members have reported the return of fish species absent for many years (e.g. <i>Kyphosus cinerascens</i> and <i>Kyphosus biggibus</i> ) and that spill-over is occurring into adjacent areas which they fish (Crane et al., 2017a). Two new fisheries closures on Satawal Island led to community members reporting increased fish diversity, abundance, and body size after only nine months (N. Crane, personal communication).   |
| 6. Cottesloe Reef Fish Habitat Protection Area, Western Australia, Australia, (2001) – 341 ha  | IUCN Protected Area Category: VI Cottesloe Reef, located in Perth’s western suburbs. The Cottesloe Marine Protection Group proposed that the reef system should be a Fish Habitat Protection Area (FHPA) because of the reefs’ popularity and vulnerability to human impacts. FHPA are locations declared as   | IUCN Governance Type: A The FHPA is governed by the Department of Fisheries, Western Australia. Under the requirements for FHPA designation, the government is required to involve communities in the management of the area  | Within the FHPA spearfishing, collection of aquarium fish, and commercial fishing are prohibited. Recreational fishing is allowed for certain species, but not net fishing. The take of abalone is prohibited to the south of Cottesloe Groyne—dividing the rules for abalone into two discrete spatial areas within the FHPA (Department of Fisheries, 2010).  | There has been limited evaluation of the biodiversity outcomes of the FHPA. Monitoring by the Department of Fisheries, Western Australia has suggested that the FHPA is helping to maintain stable populations of molluscs   |

(Continued)

TABLE 1 Continued

| MPA (establishment year) – extent                                    | Context and Objectives <sup>1</sup>  | Governance arrangements <sup>2</sup>  | Regulations   | Biodiversity outcomes   |
|--|--|---|---|---|
|  | having special ecological and community significance and thus deserving special management to ensure its long-term sustainability. The aim for Cottesloe Reef FHPA is to preserve valuable fish and marine environments for the future use and enjoyment of all people.  | (Department of Fisheries, 2001). The Cottesloe Marine Protection Group (a local community group) has coordinated volunteer programs to support the FHPA and raise awareness locally of the importance of the FHPA (Department of Fisheries, 2001).                            | Snorkeling and SCUBA are allowed. Use of jet skis and anchoring of any craft are prohibited. Aquaculture is prohibited.   | (including abalone) and echinoderms (Fairclough et al., 2008; Fairclough et al., 2011).   |
| 7. Kona Coast Fishery Management Area, Hawaii, USA (1999) – 1,070 ha | IUCN Protected Area Category: IV Kona Coast Fishery Management Area (FMA) comprises four distinct areas on the southwestern portion of the main island of Hawaii. Kona coastal waters are an important harvest area for the Hawaii marine aquarium fishery (particularly yellow tang <i>Zebrasoma flavescens</i> ) (Rossiter and Levine, 2014). This coastline also supports significant reef-based tourism, with the tourism industry concerned that over-harvesting of aquarium fish was reducing the value of diving sites (Tissot and Hallacher, 2003; Capitini et al., 2004). To reduce conflict while avoiding prohibiting all aquarium fish collecting, a network of fish replenishment areas was established in 1999 (Rossiter and Levine, 2014). The FMA has narrow objectives, specifically to reduce the impacts of aquarium fishing in West Hawaii's waters (Rossiter and Levine, 2014). | IUCN Governance Type: A The MPA is managed by the Division of Aquatic Resources, Department of Land and Natural Resources, State of Hawaii Government.  | There is no spatial allocation of restrictions within the FMA (i.e. all restrictions apply across the whole area). Collecting any aquarium fish or fish feeding is prohibited. Fish feeding as part of traditional 'ōpelu fishing gears is allowed. Fishing anywhere within the FMA with legal fishing gear for legal species for personal consumption is allowed. Legal gear/species refers to regional fisheries restrictions for the west Hawaii coast, so are not FMA level restrictions. There are no temporal restrictions, or restrictions on who can fish. Non-extractive recreational uses are allowed (e.g. snorkeling and SCUBA diving). Exceptionally, permits may be issued to engage in activities otherwise prohibited by law, but are not issued as standard. | Kona Coast FMA has led to increased populations of aquarium targeted fish (yellow tang), and there is evidence of fish spill-over from the FMA to surrounding areas for aquarium fish collection (Williams et al., 2009). Concerns have been raised that the FMA displaced aquarium fish harvesters, concentrating them at sites outside the protected area (Stevenson and Tissot, 2013; Stevenson et al., 2013). |
| 8. Nusatupe, Western Province, Solomon Islands (1998) – 150 ha       | IUCN Protected Area Category: Ib Nusatupe Island is located in the Western Province of the Solomon Islands. Nusatupe is surrounded by biodiverse fringing coral reef ecosystems. Nusatupe MPA is a permanent no-take MPA that is embedded within a larger network of MPAs that mix partial protection approaches across the Ghizo Islands (Liligo, 2011). Nusatupe, has a permanent no-take MPA, created to promote the conservation of marine biodiversity and maintain the subsistence resource base on which local communities of the region depend. Small-scale aquaculture, mostly with a research focus (particularly giant clams), is a major function of the MPA.  | IUCN Governance Type: C The MPA is managed by the World Fish Centre, but is located within a larger MPA network that is managed by GELCA. The World Fish Center runs an aquaculture research center on Nusatupe – which is the primary focus of their activities on Nusatupe. | The MPA is exclusively no-take. No resource extraction activities of any kind are permitted (Liligo, 2011). Prohibitions on fishing within Nusatupe MPA are enforced by staff at the World Fish Center (Foale and Manele, 2003). Small-scale aquaculture, mostly is allowed, and indeed a major function of the MPA. Non-extractive recreational activities are allowed provided users follow rules established for the MPA (Liligo, 2011).   | Limited ecological monitoring data available for this MPA. Surveys have identified large seagrass beds foraged by dugongs and hawksbill turtles, with hawksbill turtles nesting adjacent to the MPA (Liligo, 2011).   |
| 9. Half Moon Caye Natural Monument, Belize (1982) – 3925 ha          | IUCN Protected Area Category: II Half Moon Caye Natural Monument (NM) is a protected area within Lighthouse Reef Atoll, and considered one of the highest priority areas for conservation in the Mesoamerican Barrier Reef system (Belize Audubon Society, 2007; Belize Audubon Society, 2016). There is a low population of temporary residents on Half Moon  | IUCN Governance Type: B The NM is managed by the Belize Audubon Society under a co-management agreement with the Ministry of Natural Resources and the Environment.   | The Half Moon Caye NM consists of six zones, with no extractive activities allowed in any of the zones— i.e. all zones are no-take areas (Belize Audubon Society, 2007; Belize Audubon Society, 2016). Three zones are open to recreational snorkeling, diving, and boating, and educational activities. Three of the zones are closed to visitors except for scientific research with authorization.   | Fish abundance is generally higher inside the NM than at sites outside (Sedberry et al., 1999). Parrotfish biomass increased on reefs within the protected area between 2009 and 2013 (Cox et al., 2017). The island of Half Moon Caye is also highly protected   |

(Continued)

TABLE 1 Continued

| MPA (establishment year) – extent | Context and Objectives <sup>1</sup>  | Governance arrangements <sup>2</sup> | Regulations | Biodiversity outcomes                                      |
|-----------------------------------|--|--------------------------------------|-------------|--|
|                                   | Caye island, but it is regularly visited by fishers and tourists from elsewhere in Belize who travel to Lighthouse Reef Atoll. The management vision for Half Moon Cay is: ‘To protect and preserve natural resources and nationally significant natural features of special interest or unique characteristics to provide opportunities for interpretation, education, research and public appreciation for the benefit of current and future generations, within a functional conservation area’ (Belize Audubon Society, 2007; Belize Audubon Society, 2016). |                                      |             | as an important bird nesting site (Mitchell et al., 2017). |

<sup>1</sup>IUCN Protected Area Categories follow Day et al. (2012).

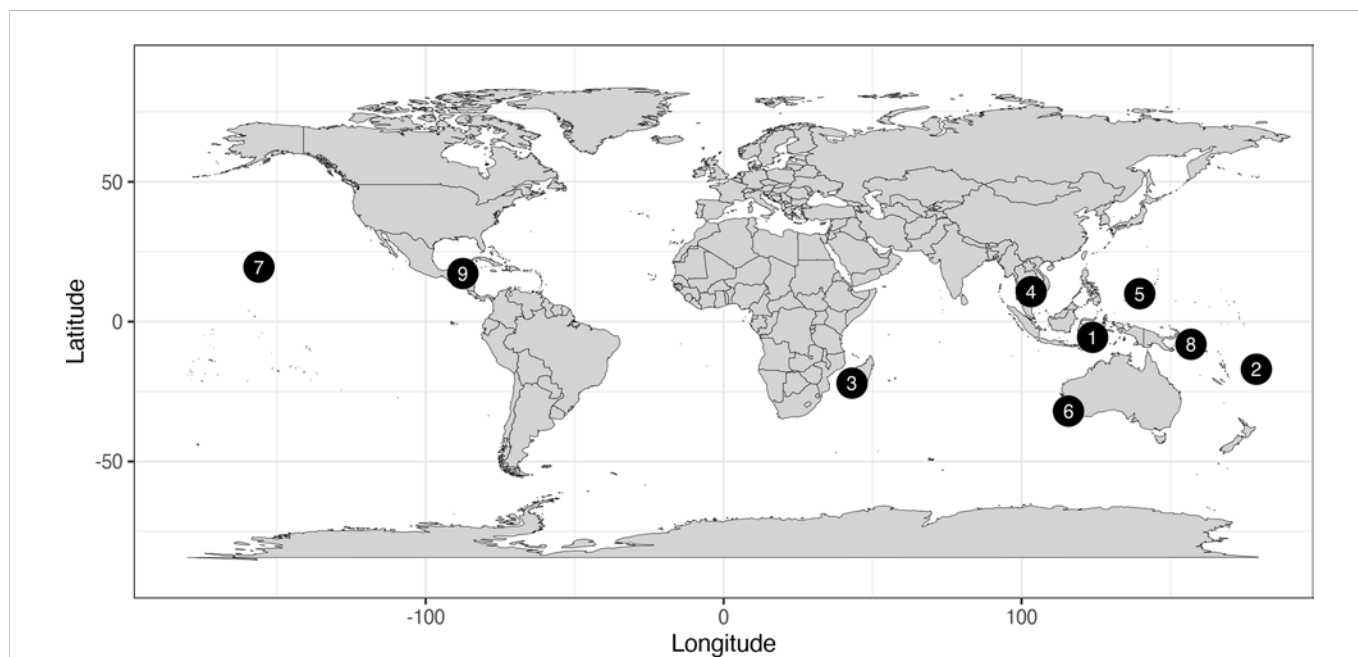
<sup>2</sup>IUCN Protected Area Governance Types follow Borrini-Feyerabend et al. (2013).

<sup>3</sup>See Table S1 for an expanded version of this case study table.

TABLE 2 Restrictions categories and restriction types used in marine protected areas and definitions.

| Restriction category                    | Definition   | Restriction types   | Number of MPAs |
|---|--|---|----------------|
| <b>Fisheries Restriction categories</b> |  |   |                |
| Where                                   | Spatial regulations on where fishing can occur   | <ul style="list-style-type: none"> <li>• Zonation</li> <li>• Habitat/depth restrictions</li> </ul>  | 95             |
| When                                    | Temporal regulations on when fishing can occur   | <ul style="list-style-type: none"> <li>• Daily times for fishing activity</li> <li>• Irregular closures for periodic harvesting on a non-predetermined schedule</li> <li>• Changes in permitted activities many times during a single year at predetermined fixed dates</li> <li>• Annual fisheries seasons</li> <li>• Changes in permitted activities pre-determined at an &gt;1 year cycle</li> <li>• Fisheries closures whenever spawning aggregations form</li> </ul> | 41             |
| Who                                     | Restrictions on who is allowed to fish—different access/activities allowed within the MPA based on people’s identity             | <ul style="list-style-type: none"> <li>• Restrictions on who can fish based on cultural heritage</li> <li>• Restrictions on who can fish based on residency</li> <li>• Requires a permit</li> <li>• Requires membership of a fishing cooperative</li> </ul>   | 62             |
| What                                    | Restrictions on what species or individuals (e.g., size, weight) can be caught   | <ul style="list-style-type: none"> <li>• Minimum size/weight restrictions</li> <li>• Target species restrictions</li> </ul>   | 73             |
| How                                     | Restrictions on how fishing can occur (e.g. fishing gears, gear effort)  | <ul style="list-style-type: none"> <li>• Gear type restrictions</li> <li>• Gear effort restrictions</li> </ul>  | 114            |
| No-take area                            | Permanent closure of an area to all fisheries with the expectation that fisheries will not be allowed at any point in the future |   | 102            |
| <b>Other restriction categories</b>     |  |   |                |
| Aquaculture                             | Aquaculture activities allowed within the MPA  |   | 9              |
| Bottom exploitation                     | Bottom exploitation allowed within the MPA (e.g. sand mining)  |   | 3              |
| Non-extractive use                      | Non-extractive uses allowed within the MPA (e.g. scuba diving, tourism)  |   | 161            |

‘Restriction categories’ represent broad groupings, while ‘restriction types’ represent the specific restriction implemented within each MPA. Number of MPAs represents how many MPAs out of the 172 MPAs included in the analysis contained a restriction category. We considered no-take areas as a distinct restriction category, as they represent the strongest form of harvest restrictions, curbing where (spatially defined area), when (intended permanent closure), what (no species can be caught), who (no people can fish), and how (no fishing gears can be used) harvesting occurs.



**FIGURE 1**  
 Location of case study MPAs. Case study MPAs are: (1) Wakatobi National Park, Indonesia, (2) Kubulau District Locally Managed Marine Area (LMMA), Fiji, (3) Velondriake LMMA, Madagascar, (4) Koh Rong Archipelago Marine Fisheries Management Area, Cambodia, (5) Ulithi Atoll, Federated States of Micronesia, (6) Cottesloe Reef Fish Habitat Protection Area, Australia, (7) Kona Coast Fishery Management Area, Hawaii, USA, (8) Nusatupe MPA, Solomon Islands, and (9) Half Moon Caye Natural Monument, Belize.

fisheries ‘restriction types’ that were being implemented in each of the 172 MPAs in the dataset (Tables 2, 3). Where a single management regulation combined multiple restriction categories or restriction types we separated them into their individual components. For example, MPAs that restrict lobster harvesting to a fixed annual

season with a minimum size for landings incorporates multiple restriction types. This case includes a species restriction (*what*—restriction on the specific species allowed to be caught), a size restriction (*what*—restriction on what sizes of individuals are allowed to be caught), and a temporal restriction (*when*—annual

**TABLE 3** Example ‘restriction types’ identified for each ‘restriction category’ for MPAs globally.

| Restriction category | Restriction type  | Number of MPAs with restriction | Example                                   |  |
|----------------------|---|---------------------------------|---|--|
|                      |   |                                 | MPA                                       | Restriction  |
| <b>Where</b>         |   | 94                              |   |  |
|                      | Zonation – spatially defined area within an MPA boundary          | 79                              | Wadi El-Gemal National Park, Egypt        | The MPA is separated into nine spatially designated zones, with distinct management guidelines provided for each zone (Government of Egypt, Ministry of State for Environmental Affairs, and Egyptian Environmental Affairs Agency; Egypt Environmental Policy Program, 2004).   |
|                      | Habitat/depth restrictions <sup>1</sup>                           | 29                              | Port Noarlunga Aquatic Reserve, Australia | The MPA prohibits fishing within 25 meters of any part of Horseshoe Reef, the northern Port Noarlunga Reef, or the southern Port Noarlunga Reef, or from the last 50 meters of the western end of the Port Noarlunga Jetty that becomes exposed at low water (Fisheries Management (Aquatic Reserves) Regulations, 2008).  |
| <b>When</b>          |   | 40                              |   |  |
|                      | Daily times fishing activity is allowed to occur                  | 4                               | Contoy Island National Park, Mexico       | The National Park has four established zones, one of which was established to minimize the impact of the Caribbean lobster fishery on seabirds during the mass migration of lobster known as “corrida”. This zone allows fishing from 3:00pm to 7:00am the following day to avoid seabird feeding times to minimize seabird bycatch (Mexico National Commission of Natural Protected Areas, 2015). |
|                      | Irregular closures for periodic harvesting on a non-predetermined | 12                              | Misool MPA, Raja Ampat MPA                | Within the MPA many villages have revived ‘Sasi’ - a local management practice where areas of reef are closed to fishing of certain important fish and/or invertebrates for a period of time. The closures are often opened when important community events happen, but the exact future   |

(Continued)



TABLE 3 Continued

| Restriction category | Restriction type  | Number of MPAs with restriction | Example   |   |
|----------------------|---|---------------------------------|---|---|
|                      |   |                                 | MPA   | Restriction   |
|                      | schedule (closures may be from several months to several years, but opening date not set at point of closure)   |                                 | Network, Indonesia  | opening date is not defined at the point the reef area is closed ( <a href="#">Technical Implementing Unit of the Raja Ampat Archipelago Waters Conservation Area (KKP), 2016</a> ).  |
|                      | Changes in permitted activities many times during a single year at pre-determined fixed dates (e.g. fishing allowed on public holidays, weekends etc.)              | 5                               | Levante de Mallorca-Cala Ratjada Marine Reserve, Spain    | This MPA does not permit commercial fishing on Saturdays, Sundays, or public holidays. Recreational fishing is allowed only on weekends (Saturday and Sunday) and two weekdays each week (Tuesday and Thursday) ( <a href="#">Government of Spain, Ministry of Agriculture, Fisheries, and Food, 2017</a> ).  |
|                      | Annual fisheries seasons (changes in permitted activities are pre-determined on a fixed annual cycle)   | 25                              | Abrolhos Islands' Fish Habitat Protection Area, Australia | This MPA implements a fisheries closure for baldchin groper ( <i>Choerodon rubescens</i> ) from 1 November to 31 January each year, and a rock lobster ( <i>Panulirus cygnus</i> ) fisheries closure from 30 June to 15 October each year for recreational fishers ( <a href="#">Government of Western Australia Department of Fisheries, 2015</a> ).   |
|                      | Changes in permitted activities are pre-determined at an >1 year cycle (e.g. fixed closure for fishing for 2 year period, with opening date set at time of closure) | 1                               | Kubulau District LMMA, Fiji                               | This LMMA implements periodic harvest closures that prohibit all harvesting activities for a predetermined period of time. For example, one tabu (closure) area was established for five years from 2009-2014 in Cakau Vusoni village ( <a href="#">WCS, 2009</a> ).  |
| <b>Who</b>           |   | 60                              |   |   |
|                      | Restrictions on who can fish based on cultural heritage (or similar) not associated with current residency location   | 6                               | Encounter Marine Park, Australia                          | This MPA allows Aboriginal peoples to practice traditional fishing in all zones of the Marine Park, while prohibiting all other users from fishing in some of these zones ( <a href="#">South Australia Department of Environment, Water, and Natural Resources, 2012</a> ).  |
|                      | Restrictions on who can fish based on residency in a settlement located within or adjacent to the MPA   | 24                              | Bacalar Chico Marine Reserve and National Park, Belize    | This MPA has two zones open to residents of Bacalar Chico for subsistence fishing, while prohibiting non-residents from conducting subsistence fishing in these zones. ( <a href="#">Belize Fisheries Department, 2015</a> ).   |
|                      | Require permits issued by government or designated management body  | 43                              | Cayman Islands Protected Areas, Cayman Islands            | This MPA requires fishers to get a license if they wish to use fish pots, spear guns, or seine nets. License holders must carry licenses when using fish pots, seine nets, or spear fishing and adhere to license conditions. Licenses are issued by the Cayman Islands Department of Environment ( <a href="#">Cayman Islands Department of Environment, 2016</a> ).   |
|                      | Require membership of fishing cooperative   | 4                               | Arrecife de Puerto Morelos National Park, Mexico          | Membership of the Pescadores Fisheries Cooperative Society of Puerto Morelos provides additional fisheries access in this MPA that other fishers who are not a member of the cooperative are unable to access. For example, cooperative members are permitted to commercially fish in one zone where commercial fishing is prohibited for other park users, while another zone is directly under concession to the cooperative giving them exclusive commercial fisheries access. Within these two zones, subsistence fishers are prohibited from spearfishing, while cooperative members are allowed to spearfish ( <a href="#">National Institute of Ecology, Mexico Secretary of Environment, Natural Resources and Fishing, 2000</a> ). |
| <b>What</b>          |   | 73                              |   |   |
|                      | Minimum size/weight restrictions  | 6                               | West Hawaii Regional Fishery                              | This Regional Fisheries Management Area prohibits possession of more than five yellow tang larger than 4.5 inches total length, or more than five yellow tang smaller than 2 inches total length within the MPA ( <a href="#">State of Hawai'i Division of Aquatic Resources, 2020</a> ).   |

(Continued)

TABLE 3 Continued

| Restriction category | Restriction type                                | Number of MPAs with restriction | Example   |   |
|----------------------|---|---------------------------------|---|---|
|                      |   |                                 | MPA   | Restriction   |
|                      |   |                                 | Management Area, USA                              |   |
|                      | Target species restrictions                     | 71                              | Virgin Islands National Park, U.S. Virgin Islands | This MPA implements a series of MPA-level species-specific restrictions around minimum size/weight for capture and annual fisheries seasons for named high priority species. This includes specific MPA-level restrictions on catching Conch ( <i>Aliger gigas</i> ), Caribbean Spiny Lobster ( <i>Panulirus argus</i> ), and several species of snapper (Lutjanidae) and grouper (Epinephelinae) (U.S. National Park Service, 2017). |
| <b>How</b>           |   | 114                             |   |   |
|                      | Gear type restrictions                          | 113                             | Dry Tortugas National Park, U.S. Florida Keys     | This MPA prohibits spear fishing, use of a hand-held hook or snare (except when a gaff is used to land a fish lawfully caught), taking fish by sling or any powered gun, and dragging or trawling a cast net or dip net when fishing within the MPA (National Park Service, U.S. Department of the Interior, 2014).   |
|                      | Gear effort restrictions                        | 36                              | Mnazi Bay Ruvuma Estuary Marine Park, Tanzania    | This MPA prohibits the use of pull nets with stretched-mesh size of less than 2.5 inches within the boundaries of the MPA. (United Republic of Tanzania Ministry of Natural Resources and Tourism; Board of Trustees for Marine Parks and Reserves, Tanzania, 2005).  |
| <b>No-take area</b>  |   | 59 <sup>2</sup>                 |   |   |
| <b>No-take area</b>  | Complete long-term prohibition on all fisheries | 59 <sup>2</sup>                 | Jaragua National park, Dominican Republic         | This MPA has nine zones with varying levels of protection, including fully protected/no-take areas (e.g., zona intangible, zona primitiva, zona de preservación) and other zones which allow fishing (e.g., zona de pesca, zona de reserve Pesquera) (United National Environment Program, 2014).   |

<sup>1</sup>Habitat and depth restrictions were not separated as they were often confounded in management plans. For example, in Port Noarlunga Reef Aquatic Reserve, Australia fishing is not allowed within 25 meters of any inter-tidal area that becomes exposed at low water (Fisheries Management (Aquatic Reserves) Regulations, 2008).

<sup>2</sup>Represents MPAs that include permanent no-take areas while allowing fishing in other areas of the MPA.

fishing season). We considered complete permanent bans on all extractive activities (fully protected areas) as a distinct restriction category, as they represent the strictest form of fishery regulation, curbing *where* (spatially defined area), *when* (intended permanent closure), *what* (no species can be caught), *who* (no people can fish), and *how* (no fishing gears can be used) fishing occurs. Most MPAs exist within a complex patchwork of fisheries management arrangements, coastal protection, or national management interventions. When classifying MPAs we specifically focused on restrictions implemented within the MPA that are different to surrounding waters. For example, Hawaii has implemented state-wide restrictions on fishing gears (including minimum mesh sizes, time of day restrictions for certain fishing gears, and species-specific protections) that apply to all fisheries in state waters (Department of Land and Natural Resources, 2005; Department of Land and Natural Resources, 2014). While these restrictions apply within all MPAs in the state and may be enforced by MPA management authorities, we did not include them in our MPA restriction analysis as they do not represent MPA-level restrictions. Our analysis of the presence/absence of restriction categories and restriction types is based on written management plans and reports for the MPAs available online. While we have local knowledge of case study MPAs, for some MPAs in our global dataset the restrictions in the management plan may not be fully implemented. This is a broader challenge for protected areas – i.e. ‘paper parks’. This does not affect our analysis or interpretation, which is primarily driven by case studies, with the global dataset

providing background context for the types of regulations frequently included in MPA management plans.

## 2.4 Data analysis

To identify whether there were common groupings of similar restrictions used in MPAs, and evaluate how our case study MPAs aligned with these groupings, we coded the presence or absence of each restriction category or type for all 172 MPAs. We then conducted principal components analysis (PCA), a multivariate statistical method to quantify similarities or differences between individual MPAs based on their restrictions. PCA generates a set of axes (principal components) that are combinations of the original input variables (in this case different MPA restrictions), maximizing the original data variance explained by each axis while minimizing correlations among axes. Each axis can be interpreted based on the strongest correlations to individual variables (restrictions). Correlations can be either positive or negative (between -1 and 1), depending on the direction and magnitude of the results. Here we consider all correlations >|0.3| as significant (following Hoshino et al., 2017). MPA restrictions with strong correlations to principal component axes that explain a large proportion of the data variation are the most important for distinguishing among MPAs. This approach allowed us to first evaluate which restrictions distinguished between MPAs in the global dataset, but then

contextualize these clusters identified in the PCA through drawing on qualitative information from the case study MPAs.

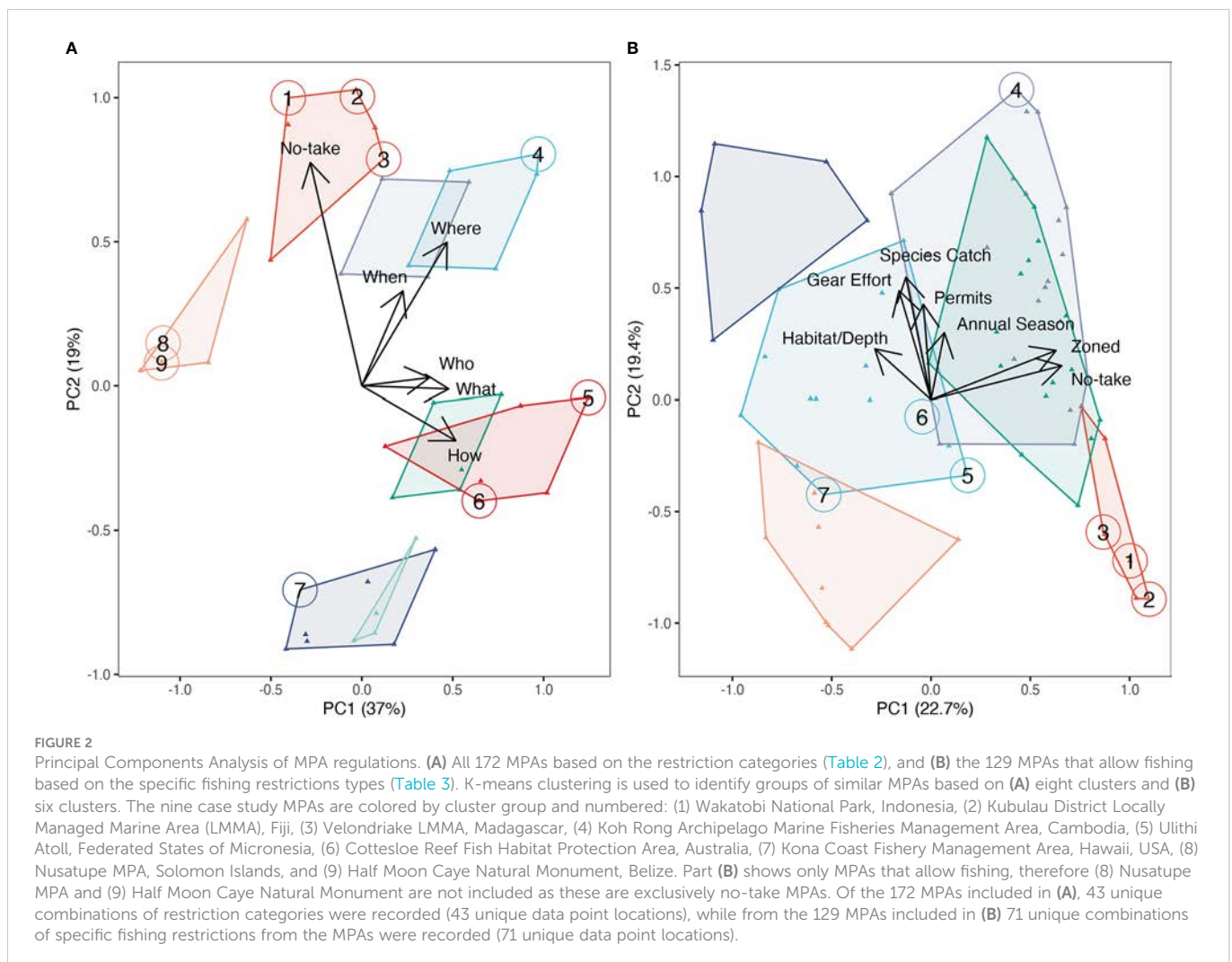
We ran two separate PCAs, the first based on all 172 MPAs using the presence or absence of the different broad ‘restriction categories’ in the MPA (i.e., presence/absence matrix of [Table 2](#) restriction categories). This allowed us to explore the relative power to discriminate between MPAs based on broad differences in restrictions. Given the widespread use of partial protection approaches to manage fisheries within MPAs, we then subset the data to the 129 MPAs that allow some form of fishing—thus removing the exclusively no-take MPAs ( $n=43$ ). We then conducted a PCA based on the individual fisheries ‘restriction types’ (i.e. presence/absence matrix of [Table 3](#) restriction types). PCA analysis was conducted in the *vegan* package (Oksanen et al., 2020).

To distinguish groups of MPAs based on restrictions we used k-means clustering, which has previously been used to classify MPAs into groups (e.g. Bohorquez et al., 2019). K-means clustering allocates MPAs into a pre-specified number of groups based on the presence/absence of restrictions while minimizing the amount of variation within each group. We selected the number of clusters based on examining a scree plot of the within group sum of squares for 0-15 clusters – i.e. how much variation in MPA restrictions can be explained by the clusters. All analyses were conducted in R (R Core Team, 2020).

## 3 Results and discussion

### 3.1 Identified restriction clusters

The majority of MPAs reviewed were partially protected, with 75% (129) of the 172 MPAs allowing some fishing to occur within their boundaries. Fisheries restrictions provided the greatest explanatory power to discriminate among MPAs across the ‘restriction categories’ ([Table 2](#)). We found that certain restrictions on fisheries within MPAs were frequently implemented together. For example, *when* and *where* fishing can occur were often implemented simultaneously within the same MPA, as were restrictions on *who* could fish and *what* could be harvested ([Figure 2A](#)). Principal component (PC) 1 explained 37% of variation in MPA restrictions ([Figure 2A](#)), and was most strongly driven by *how* fishing can be conducted (0.52 correlation with PC1), *what* can be caught (0.48), *where* fishing can occur (0.47), and *who* can fish (0.37) ([Table S2](#)). PC2 explained 19% of variation ([Figure 2A](#)) and was most strongly driven by the presence of no-take areas (0.78 correlation with PC2), in addition to *where* (0.50) and *when* (0.33) people can fish ([Table S2](#)). Aquaculture, bottom exploitation, and non-extractive recreational uses provided little power to discriminate among MPAs based on their restrictions ([Table S2](#)). This was unsurprising given the majority



of our restriction categories were focused on fisheries, and fisheries management represents a major objective of most MPAs.

When we examined the more specific ‘restrictions types’ (i.e., the specific rules applied within the broader restriction categories; [Table 3](#)) for MPAs that allow fishing, we found frequently co-occurring restrictions. We found that the use of gear effort restrictions, species catch restrictions, permits, and annual fisheries seasons were commonly used together in MPAs ([Figure 2B](#)). We also found that the use of zonation and fully protected zones commonly co-occurred—because zonation is required for an MPA to both allow fishing and incorporate permanent fully protected zones. The presence of fully protected zones (0.66 correlation with PC1) and zonation (0.63) had the greatest power to discriminate between MPAs—strongly correlating with PC1 which explained 22% of the variance ([Figure 2B](#) and [Table S3](#)). We also found that the use of species-specific restrictions (0.55 correlation with PC2), gear effort restrictions (0.49), fisheries permits (0.43), and annual fisheries seasons (0.30) were important for distinguishing between MPAs that allow fishing ([Table S3](#)). These restriction types were strong correlates of PC2, which explained 19% of the variation ([Figure 2B](#) and [Table S3](#)).

We found eight groupings of MPAs based on our cluster analysis of restriction categories, of which five were mapped to our case studies ([Figure 2A](#)). To provide a more detailed investigation of how MPAs regulate fisheries, we conducted cluster analysis on the fishing ‘restriction types’ for MPAs that allow fishing. We identified six clusters, three of which were represented by case studies ([Figure 2B](#)). Clusters represent groups of MPAs that are implementing similar ‘restriction categories’ or ‘restriction types’. For example, Kubulau District LMMA, Wakatobi NP, and Velondriake LMMA (clustered upper-center of [Figure 2A](#) and lower-right of [Figure 2B](#)) all use permanent fully protected zones and similar restrictions on *where* and *when* fishing can occur, while Nusatupe Reef and Halfmoon Caye NM (clustered center-left of [Figure 2A](#)) are exclusively fully/highly protected MPAs. By identifying co-occurring case studies within clusters we can investigate whether MPAs that are implementing similar restriction categories or types are aiming to achieve similar or highly divergent objectives.

## 3.2 Key insights from case studies

Our comparative analysis of the MPA restrictions and case studies revealed four key insights: (i) MPAs use highly diverse approaches to regulate fisheries; (ii) partially protected areas can address gaps in regional fisheries management; (iii) devolving resource management rights to communities influences the chosen fisheries restrictions; and (iv) state-governed MPAs can use highly tailored fisheries restrictions to increase equity in access. More broadly, across our case studies we found that partial protection approaches are providing an alternative pathway for marine conservation to achieve biodiversity outcomes that can complement fully protected MPAs.

### 3.2.1 MPAs use highly diverse approaches to regulate fisheries

We found MPAs implement many different restriction combinations to address similar management goals—with spatial

zonation particularly important for facilitating diverse fisheries restriction combinations. We identified 16 fisheries ‘restriction types’ ([Table 3](#)), which occurred in 73 unique combinations across the 129 MPAs that allowed fishing. This suggests high diversity in how different restrictions can be combined given an MPA’s management objectives and local context. For example, both Koh Rong Archipelago MFMA and Cottesloe Reef FHPA aim to conserve and protect fisheries species and habitats while still allowing sustainable harvesting ([Table 1](#)). Cottesloe Reef FHPA uses gear type, habitat and depth restrictions, while Koh Rong Archipelago MFMA uses annual fishing seasons, permits, target species restrictions, and gear effort restrictions ([Table 1](#)). Koh Rong Archipelago MFMA had 12 different restriction types—the greatest in our dataset.

Our results highlight the importance of spatial zonation in enabling partial protection approaches for MPAs—both for incorporating no-take areas, but also for spatially allocating other restrictions. Zonation and the use of no-take areas were highly correlated ([Figure 2B](#) and [Table S3](#)), which is not surprising because zonation is required for an MPA to both allow fishing and incorporate permanent no-take areas. These two variables are not perfectly correlated, however, as some zoned MPAs do not include no-take areas. Some MPAs may also use spatial restrictions without using formal zonation terminology or producing zonation plans. Cottesloe Reef FHPA, for example, does not include no-take areas and is not formally zoned, but has species-specific fisheries restrictions that spatially divide the MPA into two distinct areas based on a visual marker on the coastline ([Table 1](#)). Cottesloe Reef FHPA clusters with Ulithi Atoll and Kona Coast FMA in our restriction type analysis ([Figure 2B](#)). Similar to Cottesloe Reef FHPA, Ulithi Atoll does not incorporate strict no-take but does include spatial zonation. While Kona Coast FMA also does not incorporate no-take, it does not use spatial zonation. This is likely why Cottesloe Reef FHPA and Ulithi Atoll are located more closely together in our PC analysis ([Figure 2B](#)). Our case studies that allowed fishing, therefore, exemplified the diversity of approaches shown by partially protected MPAs to restrict harvesting ([Figure 2B](#)).

### 3.2.2 Partially protected areas can address gaps in regional fisheries management

MPAs exist in seascapes with highly variable national and sub-national fisheries management contexts which are reflected in their MPA-specific fisheries restrictions and management objectives (e.g. [Table 1](#)). National or sub-national fisheries management is generally concerned with how fisheries stocks are managed outside of MPAs or other spatially discrete management interventions ([Hall and Mainprize, 2004](#)). Fisheries management requires balancing political realities, livelihood needs, and ecological evidence to maintain harvest sustainability and the capacity to monitor and enforce any breaches of fisheries restrictions ([Teh et al., 2017](#)). Yet often fisheries management fails because of poor design or implementation, including failure to follow the precautionary principle ([Selig et al., 2017](#)). Area-based management approaches—such as MPAs—are amongst the most frequent and most successful tools for small-scale fisheries management ([Selig et al., 2017](#)). This is especially true for small-scale fisheries that define success based on ecological and human well-being outcomes, as opposed to profitability and



efficiency metrics that are often used to characterize large commercial fisheries (Selig et al., 2017).

Given the low capacity for national or sub-national fisheries management in many coastal areas with urgent conservation needs (Mora et al., 2009; Worm and Branch, 2012; Costello et al., 2016), MPAs often have dual aims of biodiversity conservation and supporting fisheries sustainability (e.g. White et al., 2014). For example, the Koh Rong Archipelago MFMA contains the most restrictions of any MPA in our dataset, in part because there is limited regional fisheries management, a history of open access to fisheries resources, and high community dependence on fisheries (Table 1). Therefore, the multi-use, zoned, Koh Rong Archipelago MFMA must balance supporting and building sustainable fisheries management alongside providing biodiversity outcomes. In a similar way, Wakatobi NP must balance dual objectives of sustainable fisheries with biodiversity conservation (Table 1; Amkieltiela et al., 2022). Indonesia has national fisheries management, however, this mostly excludes small-scale fisheries (vessels < 10 gross tons), which remain largely unmanaged despite representing the majority of fishing vessels (Halim et al., 2019; Tranter et al., 2022). The few national regulations that apply to small-scale fisheries, such as bans on destructive fishing gears and restrictions on some threatened species harvesting (e.g., humphead wrasse; *Cheilinus undulatus*), are poorly enforced (Amkieltiela et al., 2022; Tranter et al., 2022). To improve fisheries management, Wakatobi NP sustainable fishing zones focus on enforcing these national regulations and limiting fishing to small-scale fishers, but do not implement further gear restrictions.

Where there is greater national fisheries management capacity, MPAs can still be designated to support local-scale fisheries sustainability. Given that MPAs must fit within a complex patchwork of national fisheries management, MPAs established with similar objectives in different countries may use different restrictions based on the national fisheries management context. For example, both Cottesloe Reef FHPA and Kona Coast FMA were established based on the desire from local groups to provide enhanced conservation and protection to fisheries species and habitats above those provided by regional fisheries management in nations with high national fisheries management capacity (Table 1). Both of these MPAs still allow sustainable harvesting, with Kona Coast FMA potentially providing positive biodiversity outcomes (Table 1). Both MPAs grouped together based on ‘restriction types’—including restrictions on *what* can be fished (Figure 2B). Kona Coast FMA has few fisheries restrictions specifically for the MPA (Table 1), allowing fishing using any ‘legal gear’ for personal consumption. ‘Legal gear’ does not relate to MPA rules, but regional fisheries rules. Cottesloe Reef FHPA, in contrast, implements many specific gear restrictions and some species catch restrictions; these supplement regional species catch and temporal restrictions that also apply and are enforced in the FHPA (Table 1).

### 3.2.3 Devolving resource management rights to communities influences the chosen fisheries restrictions

Marine resource management rights can be devolved in multiple ways to the local level. Four of our case study MPAs (Velondriake LMMA, Wakatobi NP, Kubulau District LMMA, and Ulithi Atoll)

that devolve management rights to communities incorporate periodic fisheries closures—and have demonstrated biodiversity benefits (Table 1). Despite different MPA governance characteristics (Table 1), these MPAs all combine spatial management and temporal management, with all except Ulithi Atoll containing permanent no-take areas. Devolved management rights can include a wide range of co-management approaches (Sen and Raakjaer Nielsen, 1996) or governance solely by Indigenous peoples or local communities (Sen and Raakjaer Nielsen, 1996; Borrini et al., 2013). These different approaches allow local groups to make decisions around the management of specific areas within an MPA, a whole MPA, or a larger MPA network.

LMMA involve local communities in co-management or fully devolve governance to communities (Jupiter et al., 2014; Gardner et al., 2020). In Fiji, for example, there is a strong recognition of Indigenous rights—dividing coastal areas into customary fishing grounds known as *qoliqoli* (Sloan and Chand, 2016). Communities are required to give up their fishing rights to these areas when incorporated into state-governed MPAs. Hence Fiji has few state-governed MPAs, but instead has extensive LMMA such as Kubulau District LMMA under co-management between customary owners and an NGO (Table 1; Aswani et al., 2017). Similarly, Velondriake LMMA in Madagascar uses shared governance by local stakeholders and NGOs to achieve biodiversity outcomes (Gardner et al., 2020). These LMMA can include permanent fully protected areas, but also periodically harvested closures that open on cycles under the control of local village leaders in partnership with NGOs and national LMMA networks (Jupiter et al., 2014). These periodic harvest closures can provide conservation benefits, though they require careful management to avoid biodiversity gains being lost when the area is open to fishing (Goetze et al., 2018).

State-governed MPAs can also use co-management approaches to increase community involvement in marine resource governance. Wakatobi NP, for example, is a government managed MPA that uses formal spatial zonation to designate areas as fully protected, open to small-scale fishing with some restrictions, or for community management (Table 1). These community management areas—known as *Kaombo* (‘fish banks’)—are located near villages. Local customary institutions control access to *Kaombo* areas through long-term periodic harvest closures and harvest closure areas that are opened in periods of bad weather when normal fishing grounds are inaccessible (Jack-Kadioglu et al., 2020). While *Kaombo* areas can lose their conservation gains rapidly when opened to fishing if not well managed, their presence also helps support the implementation of the other fully protected MPA zones.

Exclusively fully protected MPAs that provide biodiversity benefits often owe some of their success to being relatively small, having devolved management rights, or having partial protection in the surrounding seascape. Therefore, positive biodiversity gains may not be scalable with simple expansion of fully protected area extent if this compromises equity. For example, Nusatupe Reef MPA is a small (0.49 km<sup>2</sup>) exclusively no-take MPA, while Half Moon Caye NM is a larger (39.25 km<sup>2</sup>) exclusively no-take MPA. While individually these MPAs are fully protected, they are integrated into a much larger network of partially protected MPAs. In the case of Nusatupe, this larger MPA network is governed by a committee comprised of key local stakeholders, NGOs, and local government, and actively



promotes both marine conservation and sustainable marine resource use given the high local community dependence (e.g. implementing seasonal closures, rotational closures, and gear restrictions) (Liligeto, 2011). Similarly, Half Moon Caye NM is a relatively small area of high tourism value surrounded by areas under partial protection or open to fisheries (Table 1; Belize Audubon Society, 2007; Belize Audubon Society, 2016). Therefore, successes associated with smaller, fully protected MPAs should be treated cautiously when considering scaling to designate larger, fully protected MPAs, as successes from smaller fully protected areas likely depend, in part, on fisheries access in surrounding areas.

### 3.2.4 State-governed MPAs can use highly fisheries tailored restrictions to increase equity in access

State-governed MPAs can be highly tailored to recognize diverse needs of local communities and increase the likelihood of positive biodiversity outcomes, although overcomplicated regulations risk hindering management effectiveness. Koh Rong Archipelago MFMA contains the most restrictions of all of the case study MPAs—including fully protected areas and restrictions on *where*, *when*, *who*, *what*, and *how* fishing can occur—which were defined through spatial prioritization tools and intensive consultation with marine resource users (Boon et al., 2014; Mulligan and Longhurst; Mizrahi et al., 2016; Table 1). This MPA incorporates co-management (Mizrahi et al., 2016), with decisions made by a locally elected committee and a multi-stakeholder group alongside government (Mulligan et al., 2014; Preah Sihanouk Provincial Hall, 2014). Therefore, despite intimate government involvement in Koh Rong Archipelago MFMA, the co-management governance structure and extensive consultation process has led to very different restriction structures to other government-implemented case study MPAs. When considering the ‘restriction categories’, Koh Rong Archipelago MFMA is more similar to community-implemented MPAs such as Ulithi Atoll than to our other MPAs involving government (Figure 2A). However, these similarities disappear when considering the specific ‘restriction types’ implemented (Figure 2B). The high level of tailoring restrictions in Koh Rong MFMA has resulted in an MPA with strong support and positive perceptions by local fishing communities while delivering conservation benefits (Roig-Boixeda et al., 2018). It also, however, has resulted in a complex zoning and regulation system that requires significant and well-communicated demarcation and awareness raising across sectors—especially with the rapidly growing tourism industry (i.e. new site users). The complexity of the regulation system results in an additional management burden that local authorities have been struggling to meet. Too much complexity in partially protected MPA regulations has previously been highlighted as a major challenge for MPA compliance, with the need to simplify restrictions for widespread user adoption (Iacarella et al., 2021).

## 3.3 Lessons for equitable marine conservation

### 3.3.1 Partial protection can offer equitable pathways for biodiversity conservation

Given ambitious targets, the diversity of local societal goals and needs, and limited capacity, the ability for the conservation

community to deliver on global targets through fully protected MPAs alone is limited. Firstly, a focus on exclusively fully protected MPAs combined with area-based targets for protection will likely lead to prioritization of ‘residual’ sites for MPA establishment—i.e. protecting remote areas that are already at low risk from extractive activities (Devillers et al., 2015; Barnes et al., 2018; Devillers et al., 2020). While in some cases protection of remote sites may be important, given these could face greater risk in the future, their protection results in limited near-term biodiversity gains. Secondly, aligning equity, human well-being, and environmental protection goals is increasingly center stage in conservation (Mace, 2014). This calls into question the appropriateness of fully protected MPAs that have objectives of maintaining or restoring ecosystems to ‘pristine’ condition despite being located in coastal areas with dependent resource users. For MPAs to deliver equitable outcomes they must be well managed with appropriate and inclusive governance structures and regulations for the local context. Therefore, externally imposed, fully protected MPAs will likely be unethical. Thirdly, if implemented, top-down imposed fully protected MPAs are unlikely to generate positive biodiversity outcomes. This is especially in areas where communities are reliant on fisheries or other coastal ecosystem services for human well-being, including food security (Cinner et al., 2012; Klain et al., 2014; Chaigneau et al., 2019). In this context, fully protected MPAs will likely generate social conflict and negative effects on well-being (e.g. Pomeroy et al., 2007; Evans, 2009; Mahajan and Daw, 2016). They would also likely suffer from low compliance (e.g. Campbell et al., 2012), or require substantial resource investment in enforcement to generate any positive biodiversity outcome. Therefore, in addition to being unethical these areas are likely not a cost-effective use of conservation funds. It is therefore important to openly recognize the tradeoffs and tensions that exist between maximizing biodiversity gains while balancing financial realities, issues of equity and food security (e.g. fisheries access), and social cohesion when using MPAs as tools for biodiversity conservation (e.g. Krueck et al., 2019). Fundamentally, MPA protection decisions must be grounded in local context and equity, and decisions on what counts towards protection targets must consider that a sole focus on fully protected areas will devalue the contribution of partially protected areas and risk stalling ocean conservation (Campbell and Gray, 2019).

Partially protected MPAs offer more opportunities for locally relevant tailoring of MPA regulations than exclusively fully protected MPAs. This additional flexibility—especially not fully excluding communities from fishing—can in many cases be perceived as more equitable by stakeholders and generate greater local support (e.g. (Chuenpagdee and Jentoft, 2007; Purwanto et al., 2021). Economic and food security benefits from MPAs often provide tangible outcomes for local stakeholders subjected to MPA restrictions. Furthermore, successful conservation approaches that have community support can rapidly diffuse into adjacent communities (Ehrlich et al., 2012; Gardner et al., 2018; Mills et al., 2019). Therefore, the use of partial protection approaches that include resource users in decision-making may lower the costs of replicating and scaling—potentially leading to overall greater conservation gains. Aligning these considerations with conservation targets can drive progress towards more holistic conservation outcomes that can lead to more sustainable resource

governance (Halpern et al., 2013). Therefore, in many contexts, MPAs incorporating partial protection may be better positioned to provide greater return-on-investment benefits for both people and biodiversity than exclusively fully protected MPA approaches (Chuenpagdee and Jentoft, 2007).

More equitable approaches to MPAs are apparent in the increased global recognition of different governance models (Bennett and Dearden, 2014). Equity in protected areas can be thought of in three dimensions: recognition (acknowledged legitimacy of rights/values by stakeholders), procedure (inclusive/effective participation of stakeholders), and distribution (sharing of costs/benefits of management between stakeholders) (Schreckenberg et al., 2016; Zafra-Calvo et al., 2017). All three components must be integrated for equitable MPA establishment and management. By identifying and including different stakeholder groups in finding equitable solutions, it is possible to achieve biodiversity outcomes that minimize disproportionate impacts on particular groups (Gurney et al., 2015). Over the last few decades, momentum has been growing behind building institutional structures that facilitate the co-management of marine resources between government and local communities and/or direct governance by local communities, ensuring local voices can shape MPA management (Clifton, 2003; Schultz et al., 2011). Devolving rights and decision-making authority to resource users through different governance models does not necessarily lead to weaker biodiversity protection, and can lead to more equitable outcomes (Leisher et al., 2007; del Pilar Moreno-Sánchez and Maldonado, 2010; Bennett and Dearden, 2014; Stafford, 2018).

### 3.3.2 Partial protection approaches can generate biodiversity benefits

Defining fishing regulations within partially protected areas to lead to sustainable fisheries and biodiversity outcomes can be achieved through regulation choice—including fishing gears, appropriate zoning structure, and employing evidence-informed single-species and threshold-based management. Reducing the impact of fishing gears can improve fisheries sustainability and biodiversity outcomes within partially protected areas (Crane et al., 2017a). Different fishing gears have widely variable ecosystem impacts, resulting in variation in their sustainability and the recovery time of ecosystems following use (Horta e Costa et al., 2016; Mbaru et al., 2020). For gears used by small-scale fisheries, destructive fishing practices—such as blast and cyanide fishing—cause damage lasting many decades by destroying reef habitats and killing non-target benthic species (Fox et al., 2019). In contrast, hand line fisheries can have much lower impacts on reef habitat and non-target reef species (Campbell et al., 2018; Mbaru et al., 2020). Restricting fishing within partially protected MPAs to regulated hook-and-line can lead to increased fish biomass (Campbell et al., 2018). In theory, a focus on reducing, and diversifying—but not eradicating—fishing gears and pressure within existing MPAs can result in greater overall biodiversity gains than expanding no-take areas under some contexts (Hopf et al., 2016). Thus, careful planning around gear types allowed, followed by monitoring and evaluation for adaptive management of gear impacts, can be used to balance the trade-off between fisheries and biodiversity outcomes when using partial protection approaches.

Appropriately defined and recognized boundaries are key for partially protected areas to provide both biodiversity and sustainable fisheries benefits. Spatial zonation within MPAs allows the implementation of different regulations in different parts of the MPA. This could include smaller no-take areas as part of a suite of management over a larger area—such as a zoned mixed-use MPA that allows extractive resource use within some areas. Alternatively similar effects could be achieved through a network of MPAs that includes both partial protection and full protection. The effectiveness of partially protected areas can be enhanced by the presence of adjacent fully protected areas (Zupan et al., 2018), and many of the community-governed case study MPAs that reported biodiversity benefits did include permanent no-take areas (Table 1). In other cases, temporal protection approaches can allow some forms of rotational extractive use across the whole MPA improving fisheries sustainability (Carvalho et al., 2019). When combined with species-specific protections for vulnerable species, these temporal protections can also provide longer-term biodiversity protection (Goetze et al., 2016; Carvalho et al., 2019). In all these cases, having clearly defined and recognized internal boundaries is essential for the MPAs to function.

Intuitively, employing evidence-informed single-species and threshold based management can also help partially protected areas to function more effectively. It is important to clearly identify the specific biodiversity objectives of partially protected areas if continued fisheries access is desired. For example, MPAs can focus on protecting key vulnerable species, or rebuilding and maintaining ecological functions or habitats. Ecosystem function approaches can use tools such as biomass thresholds to identify MPA objectives based on maintaining or enhancing fish biomass to certain levels (McClanahan et al., 2011; Karr et al., 2015). These thresholds can be highly variable by species (Brown and Mumby, 2014). For example, maintaining herbivorous reef fish biomass at 50% of the level expected in the absence of fishing retains over 80% of many herbivory functions (MacNeil et al., 2015). Herbivore biomass can be maintained at this level by partial protection approaches—such as bans on specific gears or species catch restrictions (MacNeil et al., 2015). While this results in lower fish biomass than in the absence of fishing, it allows fisheries to continue while still maintaining ecosystem functions. Threshold-based management requires MPA managers to conduct monitoring, evaluation, and learning activities to track fish biomass levels within their MPAs—ideally comparing fully protected, partially protected, and control areas without protection. This information can then be used to adaptively manage MPA-specific fisheries regulations to ensure biomass is maintained above such thresholds.

### 3.3.3 Looking forward

Our case studies provide diverse examples demonstrating that partial protection approaches within MPAs also have the potential to deliver on biodiversity outcomes while supporting social-ecological resilience when they are well-designed and well-managed. Target 3 of the newly adopted Kunming-Montreal Global Biodiversity Framework calls for 30% of coastal and marine areas to be effectively conserved and managed by 2030 (CBD, 2022). As this global target is translated into new national targets and action plans we encourage countries to consider a blend of locally appropriate protection levels – from fully protected areas to partially protected

MPAs – to achieve positive biodiversity outcomes. Fully protected areas remain an important tool for biodiversity protection, and should be implemented where appropriate, either as exclusively fully protected MPAs or as zones within MPAs with differing protection levels. However, because partial protection provides more opportunities to incorporate local access and resource use, it often results in more equitable and effective conservation approaches compared to exclusively fully protected areas (Fidler et al., 2022). We recommend further research into the optimal proportion of fully versus partially protected areas and their appropriate governance models to generate biodiversity outcomes without compromising access to resources, equability, food security, and local rights. A push for exclusively fully protected MPAs as global protection targets are implemented could risk increasing marine resource conflict and undermine social-ecological systems (e.g. Schleicher et al., 2019). We therefore recommend consideration of the full spectrum of MPAs that deliver positive biodiversity outcomes moving forward.

Adoption and recognition of partial protection approaches increases the diversity of regulations available to MPA managers. This helps MPAs become more locally tailored, and thus provides more pathways to achieve equitable governance, effective implementation and therefore build more resilient social-ecological systems. Our regulation classification can help MPA managers consider and design locally relevant MPA regulations, support evaluation of existing MPA regulations, as well as future research efforts on MPA effectiveness. MPAs that embrace contributions from partial protection alongside fully protected zones are therefore a valuable complementary approach to fully protected MPAs. Or, partially protected MPAs may be an important complement to fully protected MPAs within an MPA network. Our in-depth review of partially protected MPA restrictions demonstrates that a diversity of approaches can lead to positive biodiversity outcomes.

## Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

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## Author contributions

DA-B, E, HF, DG, NK, and GA contributed to conception and initiation of the study. DAB, LV, Am, NC, Es, HF, DG, JG, NC, SM, MT, and GA participated in the study design workshop. DA-B, LV, and DG analyzed the MPA regulations and organized the dataset. DA-B performed the statistical analysis and wrote the first draft of the manuscript. All authors contributed to the submitted article and approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2023.1099579/full#supplementary-material>



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